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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/613,326

07/03/2003

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EXAMINER

NADAV, ORI

ART UNIT

PAPER NUMBER

2811

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DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/613,326	<b>Applicant(s)</b> KINZER ET AL.	
	<b>Examiner</b> Ori Nadav	<b>Art Unit</b> 2811	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 25 June 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,3,4,8-10,12,14-17,19 and 27-29 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3,4,8-10,12,14-17,19 and 27-29 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 3-4, 8-10, 12, 14-17, 19 and 27-29 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. There is no support in the drawings and there is no adequate description in the disclosure for the claimed limitations of a current path from said source electrode to said drain electrode includes a vertical component, as recited in claims 1 and 12.

There is no support in the specification for first and second laterally spaced and metallized layers each connected to one of said P region and said N region, as recited in claims 1 and 12.

There is no support in the drawings and there is no adequate description in the disclosure for the claimed limitations of first, second metallized layers comprising source and drain electrodes respectively, wherein the current path from said first conductive electrode to said second conductive electrode having a vertical component, as recited in claim 27.

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The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1, 3-4, 8-10, 12, 14-17, 19 and 27-29 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claimed limitations of first, second and third metallized layers comprising source, drain and gate electrodes respectively, wherein a current path from said source electrode to said drain electrode includes a vertical component which is generally perpendicular to said first major surface, as recited in claims 1 and 12, and first and second metallized layers comprising source and drain electrodes respectively, wherein the current path from said first conductive electrode to said second conductive electrode having a vertical component which is generally perpendicular to said first surface, as recited in claim 27, are unclear as to how the respective electrodes can be formed on one first major surface, and at the same time have a vertical component which is generally perpendicular to said first major surface.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 3-4, 8-10, 12, 14-17, 19 and 27-29, as best understood, are rejected under 35 U.S.C. 103(a) as being unpatentable over Nakagawa et al. (5,105,243) in view of Coe et al. (5,128,730) and Rinne et al. (6,117,299).

Regarding claims 1, 12, 14 and 27, Nakagawa et al. teach in figure 2 and related text a semiconductor device comprising a silicon wafer having parallel first and second major surfaces; at least one P region 16 and at least one N region 10 in the wafer which meet at a PN junction within the silicon wafer; first 24 and second 26 laterally spaced and electrode layers formed on the first major surface and each connected to one of said P region and said N region; a bottom electrode layer 14 extending across the second major surface; and

a third electrode layer 22 atop the first major surface which is laterally spaced from the first and second layers; the first, second and third layers comprising source, drain and gate electrodes respectively of a MOS gated device,

wherein a current path inside said silicon wafer from said source electrode to said drain electrode includes a vertical component which is generally perpendicular to said first major surface (since Nakagawa et al. teach an electrode 14 located on the second major surface of the device, or inherently therein).

Nakagawa et al. do not teach using the device in a flip chip arrangement, wherein the electrodes comprise metal.

Coe et al. teach electrodes comprise metal.

Rinne et al. teach in figure 3 and related text a flip chip.

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It would have been obvious to one having ordinary skill in the art at the time the invention was made use Nakagawa et al.'s device in a flip chip arrangement, wherein the electrodes comprise metal, in order to use the device in an application which requires flip chip and in order to improve the conductivity of the device by using conventional material, respectively.

Regarding claims 27 and 28, Nakagawa et al. teach in figures 6-8 and related text a high conductivity element sinker located outside said region of one conductivity type and has higher conductivity than said body region.

Regarding claims 12 and 3, 4, 9-10, 16, Rinne et al. teach in figure 3 and related text a plurality of contact bumps connected to each of said first and second metallized layers; said plurality of contact bumps connected to said first metallized layer being aligned along a first straight row; said plurality of contact bumps connected to the second metallized layer being aligned along a second straight row parallel to the first straight row.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to connect each of said first and second metallized layers in Nakagawa et al.'s device to a plurality of contact bumps wherein said plurality of contact bumps connected to said first metallized layer being aligned along a first straight row, and said plurality of contact bumps connected to the second metallized layer being aligned along a second straight row parallel to the first straight row, in order to operate

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the device in its intended use by providing economical external connections to the device.

Regarding claims 8 and 15, prior art does not state that the bottom metallized layer is substantially thicker than all of the first and second metallized layers.

It would have been obvious to one having ordinary skill in the art at the time the invention was made for the bottom metallized layer is substantially thicker than all of the first and second metallized layers, in order to improve the thermal conduction of the device. It has been held that discovering an optimum value of a result effective variable of a result effective variable involves only routine skill in the art. In re Boesch, 617 F.2d 272, 205 USPA 215 (CCPA 1980).

Regarding claims 17 and 19, Nakagawa et al. teach in figure 2 and related text a silicon wafer is a rectangular wafer having an area defined by a given length and a given width, the length being greater than the width. Prior art's device comprises said first and second rows of bumps being parallel to one another and being symmetric about a diagonal line across the wafer.

Regarding claim 29, Nakagawa et al. do not teach said high conductivity element is a metallic material residing in a trench formed in said body of said die.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to use a high conductivity element being a metallic material

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residing in a trench formed in said body of said die in Nakagawa et al.'s device in order to have better control over conductivity and the electrical characteristics of the high conductivity element.

### ***Response to Arguments***

Applicant argues that there is support in the specification at page 7, lines 7-13 for "current path that includes vertical portions", as recited in claims 1, 12 and 27, and said limitation is clear. Applicant further argues that "in the Office Action there is no explanation as to why the proffered evidence is unacceptable. Instead, only conclusory statements are provided", and "the record clearly provides support for the claimed subject matter".

The examiner accepts the "proffered evidence" recited on page 7, lines 7-13. However, the claim recites a lateral device. Applicant admits, as is well known in the art, that a lateral device cannot have a current path which includes vertical portions. Therefore, there is no adequate support in the specification, and it is further unclear, how a lateral device can have a current path which includes vertical portions.

Furthermore, it appears that applicant does not accept the "proffered evidence" recited on page 7, lines 7-13 of the present application, which states that a lateral device can have a current path which includes vertical portions.



Applicant argues that Nakagawa et al. do not teach that a current path inside said silicon wafer from said source electrode to said drain electrode includes a vertical component which is generally perpendicular to said first major surface, because the source and drain electrodes in Nakagawa et al.'s device are located on the same surface. Applicant further argues that the examiner's response,

*"Applicant's device comprises source and drain electrodes located on the same surface. If the source and drain electrodes in applicant's device can create a current path having a vertical component which is generally perpendicular to said first major surface from said source electrode to said drain electrode, so can said source electrode and said drain electrode in Nakagawa et al.'s device",* is not understood, because the subject matter of the claims have no effect on the reference. "A reference teaches whatever it teaches"

Applicant claims a device comprising a source electrode and a drain electrode located on the same surface, and having a current path inside said silicon wafer from said source electrode to said drain electrode which includes a vertical component which is generally perpendicular to said first major surface. Nakagawa et al. also teaches a device comprising a source electrode and a drain electrode located on the same surface. Therefore, it is unclear to the examiner why the device of Nakagawa et al. does not have a current path inside said silicon wafer from said source electrode to said drain electrode which includes a vertical component which is generally perpendicular to said first major surface.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ori Nadav whose telephone number is 571-272-1660. The examiner can normally be reached between the hours of 7 AM to 4 PM (Eastern Standard Time) Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Gurley can be reached on 571-272-4670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

O.N.  
8/25/2008

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